

Leveraging alternative AI/ML technologies to identify predictive biomarkers for chemotherapy selection: An analysis of the COMPASS trial evaluating chemotherapy response in advanced PDAC

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INTRODUCTION

Pancreatic ductal adenocarcinoma (PDAC) remains a highly lethal malignancy with limited effective treatments, where first-line therapy often involves Gemcitabine + nab-Paclitaxel (GnP) or FOLFIRINOX (FFX). Substantial disease heterogeneity and the lack of reliable predictive biomarkers contribute to variable therapeutic responses.

OPPORTUNITY:

AI/ML have the potential to analyze complex genomic and transcriptomic data to help identify predictive biomarkers to guide more accurate, personalized treatment strategies.

OBJECTIVES:

- Analyze PDAC trial data to identify mutational and transcriptional biomarkers predictive of patient response to GnP or FFX.
- Develop robust molecular signatures that inform personalized treatment strategies and improve clinical outcomes in PDAC.

METHODOLOGY

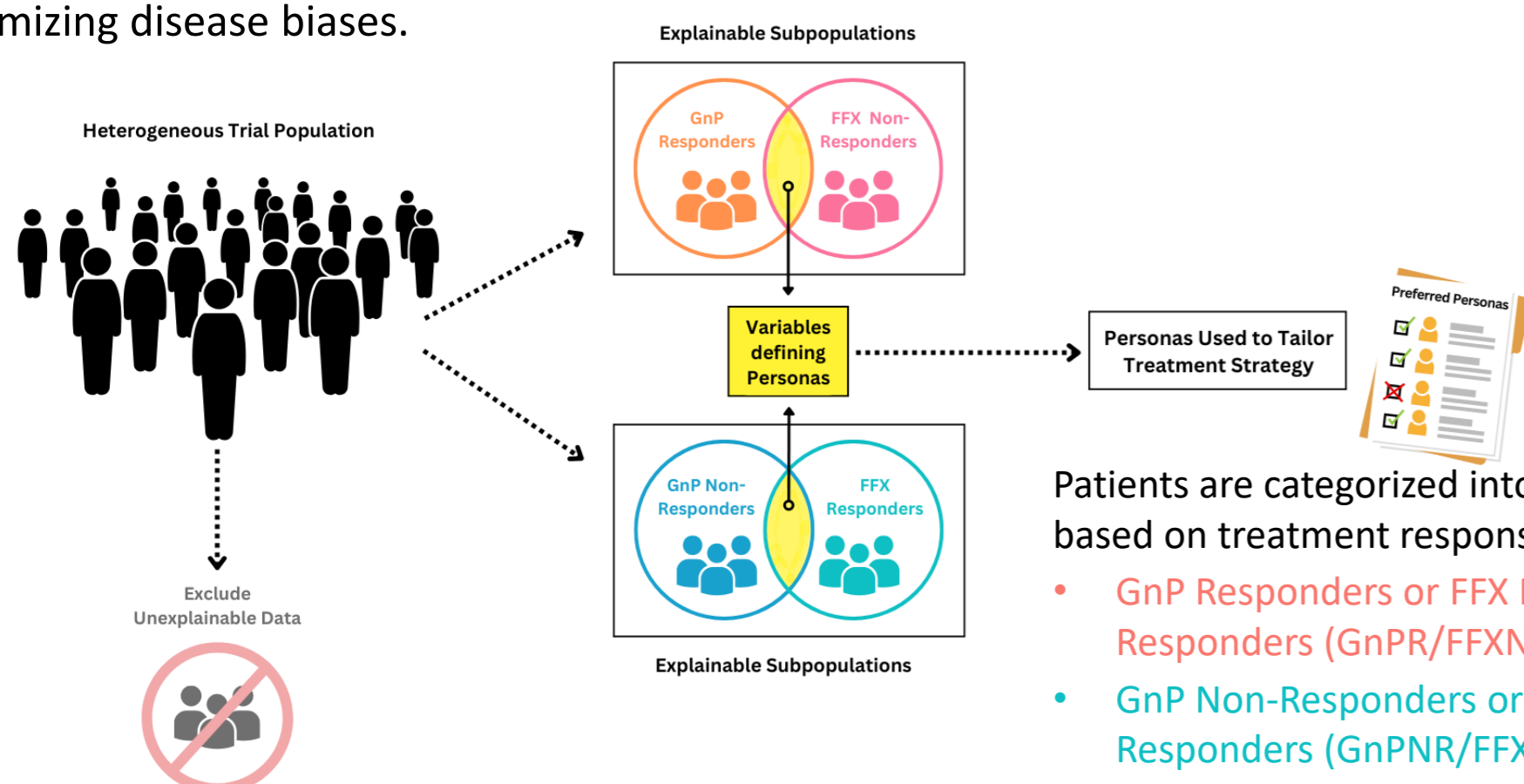
DATASET:

COMPASS dataset (n=87, ~25,000 SNVs and transcriptomic elements) evaluating PDAC patients who received GnP or FFX as first-line chemotherapy, with tumor responses evaluated based on standard criteria:

- Stable Disease (20% growth to 30% reduction)
- Partial Response (30% to 100% tumor reduction)
- Complete Response (Disappearance of tumor)

NETRAAI APPROACH:

NetraAI is an advanced, mathematically-augmented AI/ML technology developed to identify explainable patient subpopulations by distinguishing causal, high-effect-size "Personas" defined by 2-4 variables and specific ranges with respect to the endpoint, avoiding overfitting and minimizing disease biases.

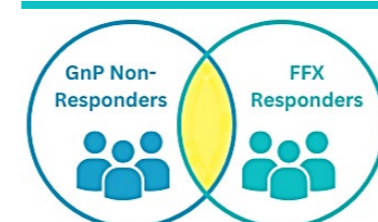


Patients are categorized into two groups based on treatment response:

- GnP Responders or FFX Non-Responders (GnPR/FFXNR; n=45)
- GnP Non-Responders or FFX Responders (GnPNR/FFXR; n=42)

RESULTS

1 MODELS OF FFX PREFERENTIAL RESPONSE



n=42 GnPNR/FFXR

Variables that *simultaneously* characterize FFX Response and GnP Non-Response can be used to identify patients most likely to benefit from FFX.

Persona 1: n=26 (16 FFXR/GnPNR; 10 GnPR/FFXNR), p=0.008, Cohen's D=1.086 characterized by:

- C3AR1 (Complement C3a Receptor 1) < 3
- MIEF1 (Mitochondrial Elongation Factor 1) < 8
- HOXB6 (Homeobox B6) < 20

Persona 2: n=25 (13 FFXR/GnPNR; 12 GnPR/FFXNR), p=0.007, Cohen's D=1.129 characterized by:

- SUV39H1 (Suppressor of Variegation 3-9 Homolog 1) 1.5-3.2
- MBNL1 (Musclebind-like Splicing Regulator 1) < 40
- PHACTR4 (Phosphatase and Actin Regulator 4) < 50

Persona 3: n=25 (15 FFXR/GnPNR; 10 GnPR/FFXNR), p=0.008, Cohen's D=1.097 characterized by:

- DDTL (Doublecortin Domain-Containing Protein 1-Like) < 10
- YBX3 (Y-Box Binding Protein 3) < 125
- FRMD4A (FERM Domain Containing 4A) ≤ 5

2 MODELS OF GNP PREFERENTIAL RESPONSE



n=45 GnPR/FFXNR

Variables that *simultaneously* characterize GnP Response and FFX Non-Response can be used to identify patients most likely to benefit from GnP.

Persona 4: n=28 (17 FFXR/GnPNR; 11 GnPR/FFXNR), p=0.003, Cohen's D=1.200 characterized by:

- TRIM25 (Tripartite Motif Containing 25) 25-55
- RAB40B (Member of the RAS Oncogene Family) < 10
- NUTF2 (Nuclear Transport Factor 2) < 35

Persona 5: n=26 (16 FFXR/GnPNR; 10 GnPR/FFXNR), p=0.008, Cohen's D=1.280 characterized by:

- MVP (Major Vault Protein) < 180
- AC004980.7 (Long Non-Coding RNA) < 13

Persona 6: n=26 (16 FFXR/GnPNR; 10 GnPR/FFXNR), p=0.0006, Cohen's D=1.490

- DENND3 (DENN Domain Containing 3) < 26
- PDE8A (Phosphodiesterase 8A) < 52
- MMP15 (Matrix Metalloprotease 15) < 22

Lower levels of MVP were found to be associated with response to chemotherapy in both regimens, particularly favoring GnP response when combined with other variables.

3 C-FOR-BENEFIT TO EVALUATE AI-DERIVED MODELS FOR GNP AND FFX PREFERENTIAL RESPONSE

STANDARD ML ANALYSIS:

Using the full COMPASS dataset (83 patients, ~22,000 SNVs), univariate filtering selected the top 15 SNVs via ANOVA F-test to train classifiers predicting treatment benefit.

The best model – **Gradient Boosting with Nested 5-fold CV** achieved modest performance:

Accuracy	F1 Score	Sensitivity	Specificity	AUC
0.530	0.562	0.610	0.452	0.569

Models trained on the raw high-dimensional SNV space demonstrated limited separability of treatment preference, poor generalization, and failed to align directionally with actual tumor shrinkage.

NETRAI-AI ENHANCED ANALYSIS:

NetraAI identified a subpopulation (n=13 FFX, 10 GnP) characterized by 3 key SNVs (ZFVYE20, LOC389043, MIR30D) that perfectly differentiated treatment outcomes.

Training models using these three features and labels (FFX-Preferred, GnP Preferred, No Call) demonstrated *perfect generalization*:

- All Models (**Gradient Boosting, SVM, Deep Net, Naïve Bayes**): AUC = 1.00, Accuracy = 1.00
- Binary** (0 vs 1) and **Multiclass** (0, 1, 2) tasks: Completely separable.

NetraAI successfully isolated the only generalizable subpopulation within the clinical trial data, discovering a minimal, explainable SNV signature that perfectly captures treatment-specific responses.

C-FOR-BENEFIT EVALUATION:

C-for-benefit was computed to assess whether the NetraAI findings are aligned with clinical benefit.

- Setup:** A Gradient Boosting classifier used the three NetraAI-selected SNVs, focusing on patients with confidently predicted treatment preferences (FFX-Preferred vs GnP-Preferred).
- Method:** For each matched pair (using Euclidean distance in the SNV feature space), the predicted benefit difference was compared to the actual tumor shrinkage difference.
- C-for Benefit:** 0.923

92.3% of paired comparisons correctly reflected the actual benefit in tumor shrinkage, reinforcing the validity of the NetraAI-derived biomarker signature in guiding treatment decisions.

CONCLUSIONS & SIGNIFICANCE

PREDICTIVE BIOMARKERS: Specific transcriptional and mutational biomarkers can predict preferential response to therapy in oncology.

ENHANCED PATIENT STRATIFICATION: Successfully identified distinct patient subpopulations based on a small set of variables, enabling clear separation of treatment outcomes.

EFFICACY OF NETRAAI: Validation through the C-for-Benefit analysis (0.923), confirms the predictive power and clinical relevance of these persona-based models.

PRECISION MEDICINE: AI/ML techniques have the potential to drive precision medicine by informing personalized treatment strategies.

CLINICAL IMPACT: This integrated approach transforms abstract AI models into trustworthy, practical tools for clinical decision-making.

BIOMARKER DISCOVERY: The discovery of robust biomarkers, may extend beyond PDAC and enhance treatment efficacy across other cancers.

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